

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)	
)	
In re Application of: HORROCKS et al.)	Examiner: Not yet assigned
)	
Serial No.: Not yet assigned)	Group Art Unit: Not yet assigned
)	
Filing Date: Herewith)	Attorney Docket No.: G11.004
)	
For: METHOD AND SYSTEM FOR)	
COMPLETING TRANSACTIONS)	
INVOLVING PARTIAL SHIPMENTS)	
)	
)	
)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with the above-identified application.

Respectfully submitted,



December 1, 2003

Date

Kurt M. Maschoff
Registration No. 38,235
Buckley, Maschoff & Talwalkar LLC
5 Elm Street
New Canaan, CT 06840
(203) 972-0081

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

2

of

4

Application Number

Not yet assigned

Filing Date

Herewith

First Named Inventor

HORROCKS, Darin

Group Art Unit

Not yet assigned

Examiner Name

Not yet assigned

Attorney Docket Number

G11.004

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
ICB/	17	6,339,766		Gephart	01/15/2002	
	18	6,360,209		Loeb et al.	03/19/2002	
	19	6,453,296		Iwamura	09/17/2002	
	20	6,456,984		Demoff et al.	09/24/2002	
	21	6,598,031		Ice	07/22/2003	
	22	2001/0007098	A1	Hinrichs et al.	07/05/2001	
	23	2001/0011222	A1	McLauchlin et al.	08/02/2001	
	24	2001/0029473	A1	Yamaoka et al.	10/11/2001	
	25	2001/0032192	A1	Putta et al.	10/18/2001	
	26	2001/0034702	A1	Mockett et al.	10/25/2001	
	27	2001/0034720	A1	Armes	10/25/2001	
	28	2001/0037312	A1	Gray et al.	11/01/2001	
	29	2001/0042784	A1	Fite et al.	11/22/2001	
	30	2001/0047310	A1	Russell	11/29/2001	
	31	2001/0047330	A1	Gephart et al.	11/29/2001	
ICB/	32	2001/0047335		Arndt et al.	11/29/2001	

[illegible]

**Examiner
Signature**

/Christopher Buchanan/

Date
Considered

07/11/2008

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

3

of

4

Complete if Known

Applicant Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	HORROCKS, Darin
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	G11.004

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
/CB/	33	2001/0047336	A1	Maycock, Jr. et al.	11/29/2001	
	34	2001/0051917	A1	Bissonette et al.	12/13/2001	
	35	2001/0051924		Uberti	12/13/2001	
	36	2002/0007320	A1	Hogan et al.	01/17/2002	
	37	2002/0035548	A1	Hogan et al.	03/21/2002	
	38	2002/0059146		Keech	05/16/2002	
	39	2002/0065774		Young et al.	05/30/2002	
	40	2002/0073045		Rubin et al.	06/13/2002	
	41	2002/0191646		Lake et al.	07/11/2002	
	42	2002/0120587		D'Agostino	08/29/2002	
	43	2002/0133467		Hobson et al.	09/19/2002	
	44	2003/0018567		Flitcroft et al.	01/23/2003	
/CB/	45	2003/0110136	A1	Wells et al.	06/12/2003	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
/CB/	1	WO	99/49424	A1	Flitcroft et al.	09/30/1999		
	2	WO	00/49586		Flitcroft et al.	08/24/2000		
	3	WO	00/62259	A1	Flitcroft et al.	10/19/2000		
	4	WO	01/29637	A2	Tsur et al.	04/26/2001		
	5	EP	1 029 311	B1	Flitcroft et al.	06/27/2001		
/CB/	6	EP	1 115 095	A2	Flitcroft et al.	07/11/2001		

Examiner Signature	/Christopher Buchanan/	Date Considered	07/11/2008
--------------------	------------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Applicati n Numb r	Not yet assigned
				Filing Date	Herewith
				First Named Inventor	HORROCKS, Darin
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	4	of	4	Attorney Docket Number	G11.004

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/CB/	1	Phillips, Stephen: "Case Study - Orbiscom and Cyota", September 5, 2001, download from http://www.cyota.com/viewCyotaNews.cfm?id=41 on 04/24/2002, ©2001, The Financial Times. 2pgs.	

Examiner Signature	/Christopher Buchanan/	Date Considered	07/11/2008
--------------------	------------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.